



Return Mail Processing Center



<<Name 1>><<Name 2>><<Name 3>><<Name 4>>

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<<City>><<State>><<Zip>>

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Dear <<Name 1>>:

### **NOTICE OF DATA INCIDENT**

We are writing to inform you of a potential security incident involving certain personal information you provided to Aptevo Therapeutics, Inc. (“Aptevo”). We are providing this notice as a precaution to inform potentially affected individuals about the incident and to call your attention to some steps you can take to help protect yourself. We sincerely regret any concern this may cause you.

#### ***What Happened***

We recently learned on September 13, 2019 that an Aptevo employee was the target of what we believe to be a phishing attack by an unknown actor or actors. The unknown actor or actors sent a phishing e-mail to one or more Aptevo employees that asked the recipient to click on a website link and then to provide login information to the employee’s Aptevo account. At least one employee likely fell victim to this scheme, resulting in the ability for the unauthorized individual to potentially gain unauthorized access to the Aptevo system and your data. We have been working closely with a nationally recognized computer security firm to investigate this incident. We have taken initial steps to address the incident and prevent this from happening again. Our investigation is complete at this time.

#### ***What Information Was Involved***

The information that may have been accessed by an unauthorized third party may have included names and social security numbers. Based on our investigation, it appears you are one of the individuals whose information may have been accessed and therefore your information could be affected by this incident. Please note, at this time, we are not aware of any fraud or misuse of your information as a result of this incident.

#### ***What We Are Doing***

We take the privacy of personal information seriously and deeply regret that this incident occurred. We took steps to address this incident promptly after it was discovered, including initiating an internal investigation into this incident and retaining an independent forensic investigation firm to assist us in our

investigation of and response to this incident. To help prevent this type of incident from reoccurring in the future, we are continuing to review and enhance security measures and access controls for our email system moving forward.

We have retained Norton LifeLock to provide one (1) year complimentary identity theft protection, with LifeLock Defender™ Preferred. To activate your membership and start monitoring your personal information, please follow the steps provided at the end of this letter.

### ***What You Can Do***

Although we are not aware of any misuse of your information arising as a result of this incident, we want to make you aware of steps that you can take as a precaution:

- **Activating the Complimentary Identity Protection Services.** As outlined above, we are offering identity theft protection services at no cost to you. For more information about these services, please refer to the “Information about Identity Theft Protection” reference guide attached to this letter.
- **Checking Credit Reports and Financial Accounts.** You can carefully check your credit reports for accounts you did not open or for inquiries from creditors you did not initiate. If you see anything you do not understand, call the credit agency immediately. If you find any suspicious activity on your credit reports, call your local police or sheriff’s office, and file a police report for identity theft and get a copy of it. You may need to give copies of the police report to creditors to clear up your records. You can also review your financial account statements to determine if there are any discrepancies or unusual activity listed. If you see anything you do not understand, call the financial institution immediately.
- **Reviewing credit and debit card account statements.** If your credit or debit card numbers may have been affected, you may also wish to review credit and debit card account statements as soon as possible to determine if there are any discrepancies or unusual activity listed. We urge individuals to remain vigilant and continue to monitor statements for unusual activity going forward. If you see something you do not understand or that looks suspicious, or if you suspect that any fraudulent transactions have taken place, you should immediately notify the issuer of the credit or debit card. In instances of payment card fraud, it is important to note that cardholders are typically not responsible for any fraudulent activity that is reported in a timely fashion.
- **Reviewing Explanation of Benefits Documents.** You can also review explanation of benefits statements that you receive from your health insurer or health plan or review for persons whose medical bills you assist with or pay (such as your child). If you identify services listed on the explanation of benefits that were not received, please immediately contact your insurer or health plan.
- **Consulting the Identity Theft Protection Guide.** Finally, please review the “Information about Identity Theft Protection” reference guide, included here, which describes additional steps that you may wish to take to help protect yourself, including recommendations by the Federal Trade Commission regarding identity theft protection and details on placing a fraud alert or a security freeze on your credit file.

***For More Information***

For more information about this incident, or if you have additional questions or concerns, you may contact me at 206.859.6683. Again, we sincerely regret any concern this incident may cause.

Sincerely,

Shawnte Mitchell  
Senior Vice President  
General Counsel & Corporate Affairs

## INFORMATION ABOUT IDENTITY THEFT PROTECTION

**Additional Details Regarding Your LifeLock Defender™ Preferred Membership:** A credit card is **not** required for enrollment in LifeLock, and once you have completed the enrollment process, the service will be in effect. The LifeLock Defender™ Preferred membership includes many services, including 24/7 Live member support, dark web monitoring, a free 90 day subscription to Norton Security Deluxe, and other services listed on the last page.

**Review Accounts and Credit Reports:** You can regularly review statements from your accounts and periodically obtain your credit report from one or more of the national credit reporting companies. You may obtain a free copy of your credit report online at [www.annualcreditreport.com](http://www.annualcreditreport.com), by calling toll-free 1-877-322-8228, or by mailing an Annual Credit Report Request Form (available at [www.annualcreditreport.com](http://www.annualcreditreport.com)) to: Annual Credit Report Request Service, P.O. Box 105281, Atlanta, GA, 30348-5281. You may also purchase a copy of your credit report by contacting one or more of the three national credit reporting agencies listed at the bottom of this page.

You should remain vigilant with respect to reviewing your account statements and credit reports, and you should promptly report any suspicious activity or suspected identity theft to the proper law enforcement authorities, including local law enforcement, your state's attorney general, and/or the Federal Trade Commission ("FTC"). You may contact the FTC or your state's regulatory authority to obtain additional information about avoiding and protection against identity theft: Federal Trade Commission, Consumer Response Center 600 Pennsylvania Avenue, NW, Washington, DC 20580, 1-877-IDTHEFT (438-4338), [www.ftc.gov/idtheft](http://www.ftc.gov/idtheft).

**For residents of Maryland:** You may also obtain information about preventing and avoiding identity theft from the Maryland Office of the Attorney General: Maryland Office of the Attorney General, Consumer Protection Division, 200 St. Paul Place, Baltimore, MD 21202, 1-888-743-0023, [www.oag.state.md.us](http://www.oag.state.md.us).

**For residents of North Carolina:** You may also obtain information about preventing and avoiding identity theft from North Carolina Attorney General's Office: North Carolina Attorney General's Office, Consumer Protection Division, 9001 Mail Service Center, Raleigh, NC 27699-9001, 1-877-5-NO-SCAM, [www.ncdoj.gov](http://www.ncdoj.gov).

**For residents of Rhode Island:** You may also obtain information about preventing and avoiding identity theft from the Rhode Island Office of the Attorney General: Rhode Island Office of the Attorney General, Consumer Protection Unit, 150 South Main Street, Providence, RI 02903, 401-274-4400, <http://www.riag.ri.gov>.

**Security Freezes and Fraud Alerts:** You have a right to place a security freeze on your credit report, which will prohibit a consumer reporting agency from releasing information in your credit report without your express authorization. The security freeze is designed to prevent credit, loans, and services from being approved in your name without your consent. However, you should be aware that using a security freeze to take control over who gets access to the personal and financial information in your credit report may delay, interfere with, or prohibit the timely approval of any subsequent request or application you make regarding a new loan, credit, mortgage, or any other account involving the extension of credit.

A security freeze does not apply to a person or entity, or its affiliates, or collection agencies acting on behalf of the person or entity, with which you have an existing account that requests information in your credit report for the purposes of reviewing or collecting the account. Reviewing the account includes activities related to account maintenance, monitoring, credit line increases, and account upgrades and enhancements. Please contact the three major credit reporting companies as specified below to find out more information about placing a security freeze on your credit report.

As an alternative to a security freeze, you have the right to place an initial or extended fraud alert on your credit file at no cost. An initial fraud alert is a 1-year alert that is placed on a consumer's credit file. Upon seeing a fraud alert display on a consumer's credit file, a business is required to take steps to verify the consumer's identity before extending new credit. If you are a victim of identity theft, you are entitled to an extended fraud alert, which is a fraud

alert lasting 7 years. You can place a fraud alert on your credit report by contacting any of the three national credit reporting agencies at the addresses or toll-free numbers listed at the bottom of this page.

**Additional Information for New Mexico Residents:** The federal Fair Credit Reporting Act (FCRA) promotes the accuracy, fairness, and privacy of information in the files of consumer reporting agencies. Here is a summary of your major rights under the FCRA:

- You have the right to be told if information in your file has been used against you;
- You have the right to receive a copy of your credit report and the right to ask for a credit score;
- You have the right to dispute incomplete or inaccurate information;
- You have the right to dispute inaccurate, incomplete, or unverifiable information;
- You have the right to have outdated negative information removed from your credit file;
- You have the right to limit access to your credit file;
- You have the right to limit “prescreened” offers of credit and insurance you get based on information in your credit report;
- You have the right to seek damages from violators; and
- You have the right to place a “security freeze” on your credit report.

New Mexico Consumers Have the Right to Obtain a Security Freeze or Submit a Declaration of Removal. You may obtain a security freeze on your credit report to protect your privacy and ensure that credit is not granted in your name without your knowledge. You may submit a declaration of removal to remove information placed in your credit report as a result of being a victim of identity theft. You have a right to place a security freeze on your credit report or submit a declaration of removal pursuant to the Fair Credit Reporting and Identity Security Act.

The security freeze will prohibit a consumer reporting agency from releasing any information in your credit report without your express authorization or approval. The security freeze is designed to prevent credit, loans and services from being approved in your name without your consent. When you place a security freeze on your credit report, you will be provided with a personal identification number, password or similar device to use if you choose to remove the freeze on your credit report or to temporarily authorize the release of your credit report to a specific party or parties or for a specific period of time after the freeze is in place. To remove the freeze or to provide authorization for the temporary release of your credit report, you must contact the consumer reporting agency and may need to provide the following:

- (1) the unique personal identification number, password or similar device provided by the consumer reporting agency;
- (2) proper identification to verify your identity;
- (3) information regarding the third party or parties who are to receive the credit report or the period of time for which the credit report may be released to users of the credit report; and
- (4) payment of a fee, if applicable.

A consumer reporting agency that receives a request from a consumer to lift temporarily a freeze on a credit report shall comply with the request no later than three business days after receiving the request. As of September 1, 2008, a consumer reporting agency shall comply with the request within fifteen minutes of receiving the request by a secure electronic method or by telephone.

A security freeze does not apply in all circumstances, such as where you have an existing account relationship and a copy of your credit report is requested by your existing creditor or its agents for certain types of account review, collection, fraud control or similar activities; for use in setting or adjusting an insurance rate or claim or insurance underwriting; for certain governmental purposes; and for purposes of prescreening as defined in the federal Fair Credit Reporting Act.

If you are actively seeking a new credit, loan, utility, telephone or insurance account, you should understand that the procedures involved in lifting a security freeze may slow your own applications for credit. You should plan ahead and lift a freeze, either completely if you are shopping around or specifically for a certain creditor, with enough advance notice before you apply for new credit for the lifting to take effect. You should contact a consumer reporting

agency and request it to lift the freeze at least three business days before applying. As of September 1, 2008, if you contact a consumer reporting agency by a secure electronic method or by telephone, the consumer reporting agency should lift the freeze within fifteen minutes. You have a right to bring a civil action against a consumer reporting agency that violates your rights under the Fair Credit Reporting and Identity Security Act.

For more information, including information about additional rights, you can visit <https://www.consumer.ftc.gov/articles/pdf-0096-fair-credit-reporting-act.pdf>, <https://www.consumerfinance.gov/learnmore/>, or write to: Consumer Financial Protection Bureau, 1700 G Street N.W., Washington, DC 20552.

You can obtain more information about fraud alerts and credit freezes by contacting the FTC or one of the national credit reporting agencies listed below.

**National Credit Reporting Agencies' Contact Information**

Equifax (www.equifax.com)

**General Contact:**

P.O. Box 740241  
Atlanta, GA 30374  
800-685-1111

**Fraud Alerts and Security Freezes:**

P.O. Box 740256, Atlanta, GA 30374

Experian (www.experian.com)

**General Contact:**

P.O. Box 2002, Allen, TX 75013  
888-397-3742

**Fraud Alerts and Security**

**Freezes:**

P.O. Box 9556, Allen, TX 75013

TransUnion

(www.transunion.com)

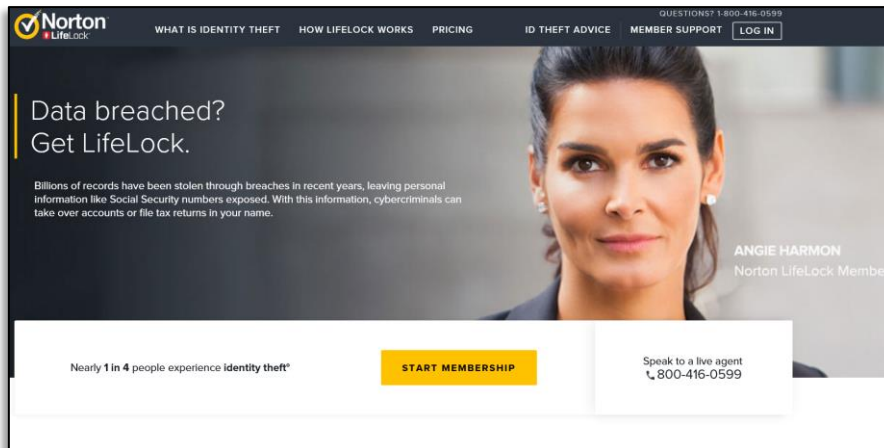
**General Contact, Fraud Alerts and Security Freezes:**

P.O. Box 2000  
Chester, PA 19022  
888-909-8872

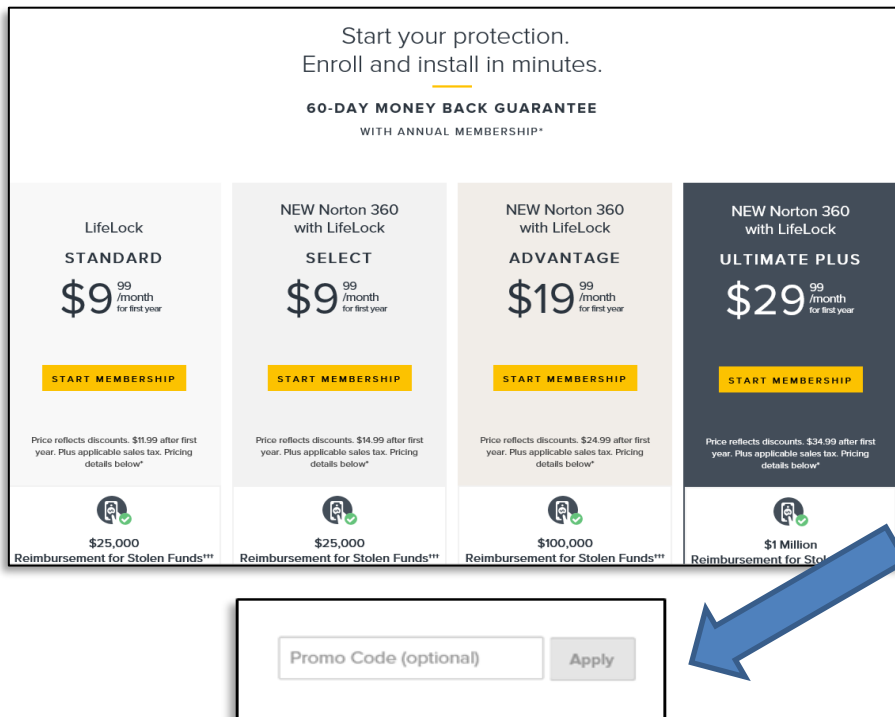
## LIFELock DEFENDER™ PREFERRED ENROLLMENT PROCESS

As mentioned above, **Aptevo Therapeutics, Inc.** has retained Norton LifeLock to provide one (1) year of complimentary identity theft protection, with LifeLock Defender™ Preferred. **To activate your membership and get protection at no cost to you, you can enroll one of two ways:**

1. You can enroll by phone at (800) 899-0180. Agents are available 24 hours a day, 7 days a week. You will need the promo code: **APVTP19** and your Member ID. (Your Member ID is your first name last name plus 5-digit zip code, all without spaces. Ex. JOHNNORTON12345)
2. You can enroll online:
  - ✓ Go to [LifeLock.com](https://www.lifelock.com). Click on the yellow **START MEMBERSHIP** button.



- ✓ You will be taken to another page where, below the four protection plan boxes. Scroll down and you will see a box where you can enter the promo code: **APVTP19** and click the **APPLY** button.



- ✓ On the next page enter your **Member ID** and click apply. (Remember, your Member ID is your first name last name plus 5-digit zip code, all without spaces. Ex. JOHNNORTON12345)

- ✓ Click the red **START YOUR MEMBERSHIP** button.
- ✓ Complete the enrollment.
- ✓ You will receive a confirmation email after enrollment (be sure to follow all directions in this email).

**You will have until December 19, 2019 to enroll in this service.**

Once you have completed the LifeLock enrollment process, the service will be in effect. Your LifeLock Defender™ Preferred membership includes:

- ✓ Primary Identity Alert System<sup>†</sup>
- ✓ 24/7 Live Member Support
- ✓ Dark Web Monitoring<sup>\*\*</sup>
- ✓ Norton™ Security Deluxe<sup>2</sup> (90 Day Free Subscription)
- ✓ Stolen Funds Reimbursement up to \$25,000<sup>†††</sup>
- ✓ Personal Expense Compensation up to \$25,000<sup>†††</sup>
- ✓ Coverage for Lawyers and Experts up to \$1 million<sup>†††</sup>
- ✓ U.S.-based Identity Restoration Team
- ✓ Annual Three-Bureau Credit Reports & Credit Scores<sup>1\*\*</sup>
- ✓ Three-Bureau Credit Monitoring<sup>1\*\*</sup>
- ✓ USPS Address Change Verification Notifications
- ✓ Fictitious Identity Monitoring
- ✓ Credit, Checking and Savings Account Activity Alerts<sup>†\*\*</sup>

<sup>1</sup>For credit reports, scores, and/or credit monitoring features (“Credit Features”), two requirements must be met to receive said features: (i) your identity must be successfully verified with a credit reporting agency; and (ii) the credit reporting agency must be able to locate your credit file and it must contain sufficient credit history information. **IF EITHER OF THE FOREGOING REQUIREMENTS ARE NOT MET YOU WILL NOT RECEIVE CREDIT FEATURES FROM ANY BUREAU.** If verification is successfully completed with one credit reporting agency, but not with one of the others, as applicable, you will not receive Credit Features from such agency(s) until the verification process is successfully completed. Any credit monitoring from Experian and TransUnion will take several days to begin after your successful plan enrollment.

No one can prevent all identity theft or cybercrime. <sup>†</sup> LifeLock does not monitor all transactions at all businesses.

<sup>2</sup> Norton Security Online provides protection against viruses, spyware, malware, and other online threats for up to 5 PCs, Macs, Android devices. Norton account features not supported in this edition of Norton Security Online. As a result, some mobile features for Android are not available such as anti-theft and mobile contacts backup. iOS is not supported.

**\*\*These features are not enabled upon enrollment. Member must take action to get their protection.**



††† Reimbursement and Expense Compensation, each with limits of up to \$25,000 for Defender Preferred. And up to \$1 million for coverage for lawyers and experts if needed. Benefits under the Master Policy are issued and covered by United Specialty Insurance Company (State National Insurance Company, Inc. for NY State members). Policy terms, conditions and exclusions at: [LifeLock.com/legal](http://LifeLock.com/legal).